Overview of

PSMA TECHNOLOGY REPORT

3D Power Packaging With Focus on Embedded Passive Component and Substrate Technologies

February 2018

PSMA 3D Power Packaging Phase III
A Special Project of the
PSMA Packaging Committee

Introduction

In 2013 the Power Sources Manufacturing Association (PSMA) Packaging Committee began what turned into a 5-year study of the feasibility of using 3D packaging to decrease the size and cost while increasing the performance of power sources. In 2014 the first Technology Report entitled "3D Power Packaging" was published. It surveys all know technologies at that time that appeared feasible for 3D Power Packaging. The conclusion of that report was that embedding components (active and passive) with in the substrate was the most viable technology for meeting the design goals for 3D power packaging. A Phase 2 report entitled "Current Developments in 3D Packaging with Focus on Embedded Substrate Technology" was published in March 2015. The report covered known substrate and component (active and passive) technologies that are available and roadmap technologies that should be watched. The phase 2 report concluded the largest gap for full implementation of embedded technology for power sources was the availability of passive components. As a result, the PSMA packaging committee commissioned a Phase 3 report to be written and research by Georgia Tech Packaging Research Center (GT-PRC) in June 2017. The Phase 3 Technology Report entitled "3D Power Packaging with Focus on Embedded Passive Component and Substrate Technologies" was published in March 2018. This report covers in detail passive component (capacitors, inductors, resistors) available for embedding and 3D Packaging looking at power levels up to 100 kW. It also provides an update to 3D packaging technologies in production at the time of the report and future trends for both the components and manufacturing technologies. All of the abovementioned Technology Reports are available from PSMA on their website www.psma.com . This article will provide a short summary of the Phase 3 report, a view of the table of contents and excerpts from each chapter to give the reader a glimpse of the report contents.

Phase 3 Report Summary

The Phase 3 report is the third in a series of reports focusing on using 3D packaging to reduce the size and improve the performance of Power Sources. The focus of this report in on passive and substrate technologies. Miniaturization of passive components without compromising their power handling and efficiency, and their integration with actives has always been a key focus for power packaging. There is also an increasing trend to vertical or 3D package integration to address the performance issues by eliminating parasitics from large leads. Wide bandgap (WBG) devices, on the other hand, have become a key enabler to achieve high power densities and efficiency, owing to their many advantages over silicon. In particular, they enable operation at higher switching frequencies and temperatures with subsequent improvements in power density and efficiency. While SiC is presently the most mature WBG technology, production-ready for power train applications, GaN devices have recently gained importance with the GaN-on-Si technology, to reduce the cost. Embedding of WBG actives and passives, therefore, has become a very important technology in power applications to increase power density and efficiency along with miniaturization of power modules. Embedding gives lowest

package inductance and enables co-integration of power systems and drivers in a single package with direct interconnection between gate driver circuits and switches with shortest interconnection length. This, however, leads to several process integration and reliability challenges that need to be systematically addressed. The challenges vary depend on the power of the system, power density, operation temperature and other criteria. The power electronics industry is actively pursuing solutions to address these challenges.

GT-PRC and PSMA have systematically surveyed the recent advances in passives, active embedding and 3D passive-active integration to generate this report, with emphasis on 3D power packaging enabled by advances in passive components and embedding of actives in power packages. A detailed literature study was conducted on key advances in embedded passive technologies and related topics. Emerging nanomaterials and processes are described for inductors, capacitors and resistors. Nanostructured materials provide additional degrees of freedoms in enhancing the properties to improve the performance metrics such as volumetric density and efficiency of the components. Key enabling building-blocks are described for each technology. The manufacturing challenges are also highlighted in advancing the components to improve performance. Industry leaders were surveyed to get the recent technology advances in each category. Roadmaps are projected for passive component advances and active embedding technologies.

Passive components, substrates and packaging technologies are categorized as follows. Magnetic materials are classified into three categories: a) low-frequency, high-power magnetics such as those used in isolated DC-DC converters, b) medium-frequency, medium-power inductors used in ultra-thin or embedded inductors, c) high-frequency magnetics used in integrated power module applications. Similarly, capacitors are classified into: a) formed thin-film capacitors for high-frequency decoupling, b) high-surface area silicon trench, sintered porous tantalum capacitors or etched Al foil capacitors, c) inserted ultra-thin MLCCs, and d) high-voltage, high-temperature capacitors. Resistors are classified into thickfilm and thinfilm resistors and the key materials and process advances in each class are highlighted.

The trends in 3D power packaging are also described in three categories: low power (1-100 W), medium power (100-1000 W) and high power (10-100 kW). Integration in each category is classified into lead-frame-based, substrate-embedding based, and traditional ceramic substrates. Active embedding with panel- scale substrate manufacturing is also reviewed in detail. Recent innovations in substrate materials and associated reliability challenges such as via cracking, dielectric cracking or electric breakdown are highlighted. Advances in die-attach solutions with sintered nanocopper are reviewed, highlighting the evolution of low-stress sintered copper-based die-attach solutions.

In summary, a comprehensive industry report on recent advances in 3D power packaging, enabled by advances in passives and active embedding is generated for PSMA.

Table of Contents from Phase 3 Report

Chapter 1 Executive Summary	4
Chapter 2 Introduction	6
Chapter 3 CAPACITORS	11
3.1 Basic Technologies	11
3.2 Technology Drivers and Trends	16
3.3 Embedded Capacitors	19
3.3.1 Laminates	20
3.3.2 Inserted MLCCs	22
3.3.3 Formed Capacitors	31
3.3.4 Silicon	37
3.3.5 Nanoelectrodes for Electrolytic Capacitors	38
3.4 High-voltage High-temperature Capacitors	41
3.4.1 Ceramic Capacitors	42
3.4.2 Polymer Film Capacitors	44
3.4.3 Aluminum Capacitors	50
3.5 Reliability Considerations	51
3.6 Technology Roadblocks and Solutions	54
3.6.1 Manufacturing Issues	55
3.6.2 Emerging Technologies	58
3.7 FUTURE TREND	59
3.8 Capacitor Highlights	61
3.9 References	62
Chapter 4 INDUCTORS	66
4.1 Introduction	66
4.1.1 Drivers and Trends	66
4.1.2 Inductor Technologies: Magnetic Cores and Inductor Topologies	67
4.2 Advances in Magnetic Cores	72
4.2.1 Low frequency and high power	72
4.2.2 Medium frequency and medium power	73

4.2.3 High frequency, high power-density	76
4.3 Advances in inductors	80
4.3.1 Inserted inductors	80
4.3.2 Formed inductors:	84
4.4 Reliability Challenges and solutions	89
4.5 FUTURE TREND	91
4.6 Inductor Highlights Error! Bookmark not d	efined.92
4.7 References	2293
Chapter 5 RESISTORS	95
5.1 Introduction	95
5.1.1 Drivers and Trends	95
5.2 Resistor Technologies	95
5.2.1 Thick-film Resistors	95
5.2.2 Thin-film Resistors	96
5.3 Advances in Embedded Resistors	102
5.4 Precision patterning	105
5.5 Reliability Challenges and Solutions	107
5.6 Resistor Highlights	108
5.7 References	109
Chapter 6 3D Power Packaging with Focus on Embedded Components	110
6.1 Introduction	110
6.1.1 3D Power Packaging Technologies	110
6.1.2 Drivers and Trends	111
6.1.3 3D Power Package Technologies with Focuses on Embedded Components	112
6.1.4 Low Power Packaging Technologies and Roadmap (0.1W-100 W)	114
6.1.5 Medium Power Packaging Technologies and Roadmap (100W-1kW)	128
6.1.6 High Power Packaging Technologies and Roadmap (1kW- 100 kW)	132
6.2 Advanced Substrate Materials and Reliability	143
6.2.1 Traditional materials in power modules	143
6.2.2 Materials in Embedded power modules	144
6.2.3 Failures mechanisms in 3D power packages Error! Bookmark not	defined

	6.2.4 Emerging materials to Address Failures	. 148
	6.3 Emerging Lead-Free High Temperature Die Attach Technologies	. 152
	6.3.1 Introduction and the need for innovative die-attach solutions	. 152
	6.3.2 Major considerations for selection of power semiconductor die-attach materials:	. 153
	6.3.3 Types of die-attach technologies – a brief comparison	. 154
	Review of current state-of-the-art high temperature die-attach technologies	. 159
	6.3.4 High temperature solders [64]	. 159
	6.3.5 Transient Liquid Phase Bonding	. 161
	6.3.6 Solid-state silver sintering	. 170
	6.3.7 Solid-state copper sintering	. 182
	6.3.8 DA-5 Project: Comparison of the competing Pb-free die-attach technologies	. 183
	6.3.9 Interview with die-attach material suppliers:	. 184
	6.3.10 FUTURE TREND	. 193
	6.4 Highlights	. 194
	6.5 References	. 196
`h	anter 7 ALITHOR RIOGRAPHIES	204

Excerpts from CAPACITORS Chapter

Excerpt from Introduction

Capacitors are critical components in any electronic system. They are used in energy storage, filtering, subbing, coupling and decoupling, sensing, and high-power pulsing. With such a versatile range of applications, all having unique needs, capacitors come in many different sizes and designs. Power range, operating temperature, efficiency needs, or reliability concerns will play a large role in capacitor selection. Embedding of capacitors enhances the performance of capacitors further, while also improving the form-factor and efficiency. While capacitor embedding has primarily been delegated to high-frequency filtering, a second wave of embedding, both for energy storage and decoupling purposes, is arising from advances in nanostructured materials, design and processes. This section of the report will review the state-of-the-art capacitor technologies and recent advances that are pushing towards a new era of packaging for high-performance 3D systems. The needs that are driving research and development will be identified. Both ongoing and emerging trends will be analyzed. Predictions for the future will be made.

Excerpt from Embedded Capacitors Section

As we discussed, there is a trend towards capacitor embedding driven by system miniaturization and performance benefits. Companies have made great strides towards this end, with on-chip and on-package decoupling becoming more and more commonplace. Already, on-chip filtering is frequently used. With some capacitor technologies, such as laminates, the technology has been around for a while but limited to low-capacitance requirements that are typically seen in bypass capacitors. In other cases, such as with ceramic and silicon capacitors, wide-spread commercialization of the embedded technology for decoupling applications has arisen due to market needs in high-performance computing and application processors and will only continue to grow. Finally, in some cases, as with electrolytic capacitors, opportunities exist but the art is still largely developmental. Figure 0-1 summarizes the evolution of capacitors to the point of embedding and what technological advances enable the continued development.

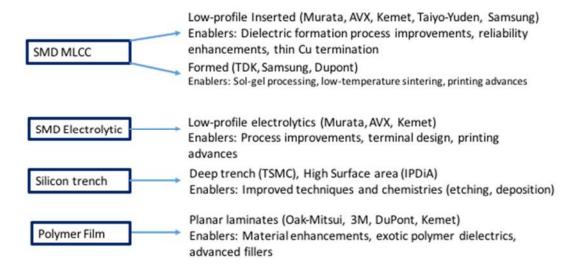


Figure 0-1. Evolution of capacitor technologies from surface-mounted devices to embedded devices with key manufacturers (Source: Georgia Institute of Technology)

There are many ways in which a capacitor can be integrated into a package. The most standard implementation is surface-mounting onto the package substrate. In this approach, the passives are mounted to the side of the IC. These are referred to as "die-side capacitors". Instead of routing the connection through the substrate and board, the length can be reduced by simply routing through the substrate. For example, Ni base-metal electrode MLCCs for decoupling are used in almost all silicon-based processor packages. The next step of evolution is placing components on the backside of the substrate, referred to as "land-side" capacitors. However, the routing distance through the package-to-surface-mounted devices (SMDs) is still much longer than routing to capacitors embedded within the substrate directly beneath the IC. For this to occur, the capacitor can be laminated into a core material, forming a capacitor-embedded functional substrate. Figure 0-2 summarizes the different ways passives can be integrated into the package [14, 15]. Manufacturers should consider cost versus performance trade-offs as component-embedding can sometimes be more expensive than the component itself.

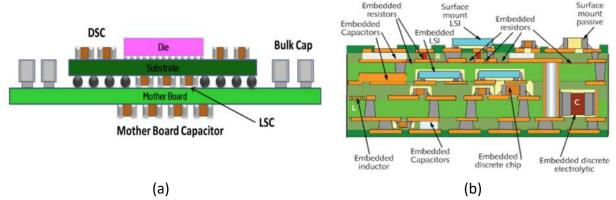


Figure 0-2. (a) Mounted capacitors in a typical board-system (Source: [15]) (b) Efficient integration of passives in a functional substrate (Source: [14])

Laminates

Embedded laminate capacitors find use in mainly high-frequency filtering and noise reduction, and due to their ultra-low loss and planar designs, can be found in many high-performance 3D systems. For power applications, their use is limited due to their small capacitance values. However, as laminate materials continue to improve, the capacitance densities are improving somewhat. The most common way companies have done this is by adding ferroelectric fillers to the laminates to increase the dielectric constant. In the early 2000's Motorola developed a photo dielectric with a permittivity of 20-22 using this concept [16]. In another example, 3M has an embeddable laminate capacitor with copper thickness down to 6 μ m that can achieve up to 6.2 nF/cm² (Figure 0-3) [17]. The permittivity D_k is achieved using BaTiO₃-based particle fillers.

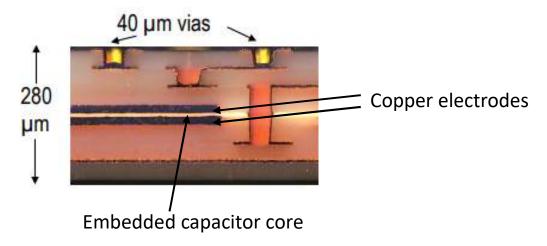


Figure 0-3. C-Ply high-permittivity laminate from 3M embedded in substrate (Source: [17])

Oak-Mitsui similarly used lamination of polymer-ceramic composite films onto copper foil, followed by subtractive patterning and metallization to get embedded capacitor arrays. They can achieve a slightly higher permittivity at the expense of dielectric thickness, so the capacitance is still limited to 1.7 nF/cm². Some of the properties for Oak-Mitsui's FaradFlex are listed in Table 0-1 [18].

Properties	Test Method	MC12TM	мсвтм	MC16T	MC25ST	MC25LD
Dielectric Thickness, µm	IPC or others	12	8	16	25	25
Cp @1 MHz, nF/in ² (pF/cm ²)	Nominal	4.2 (650)	7.1 (1100)	11 (1700)	4.3 (660)	2.1 (320)
Dk (Dielectric Constant) @ 1 MHz/ 1 GHz	IPC TM- 650 2.5.5.2	10.0/ 9.5	10.5/ 10.0	30.0/ 25,0	18.5/ 18.0	8.3/ 7.8
Df (Loss Tangent) @ 1 MHz/ 1 GHz	IPC TM- 650 2.5.5.2	0.015/ 0.020	0.020/ 0.021	0.034/ 0.036	0.004/ 0.008	0.0027/ 0.0032
Peel Strength, lbs/ linear in.	IPC TM- 650 2.5.5.2	5	5	5	4	4
Dielectric Strength, kV/mil	IPC TM- 650 2.4.9	5	4	2	2	2

Table 0-1. Properties of FaradFlex laminate film (Source: [18])

While laminate composites do a good job of achieving low-impedance filtering and high stability, the capacitance is clearly still limited. As soon as the polymer matrix is added to the ceramic particles, the ϵ_r goes from >100 down to <30, limiting the use for energy storage applications. Therefore, research has turned to other capacitor technologies to reach higher capacitance values from the substrate.

Inserted MLCCs

Smaller footprints and thinner form factors are needed to accommodate miniaturized, fine-grain power management with ultra-short interconnection lengths. Overall, MLCC demand has continued to increase, and part of that is due to their encroachment on other capacitor technology markets such as tantalum. Demand for smaller case sizes, especially 0402 and 0201, have been the main contributors to that growth [19]. Traditionally utilized as surface-mounted devices (SMDs), discrete MLCCs are becoming so thin that embedding within the package is possible. There are some key technologies that have enabled this development to the point of commercial manufacturing.

Termination Design

Precious-metal inner electrodes (PMEs) such as Pd-Au or Ag were used for a long time due to their processability with ceramic dielectrics. However, base-metal electrode (BME) BME MLCCs based on Ni inner electrodes were developed in the 1990's with a similar reliability to PME MLCC.

This development was driven by Japanese manufacturers' Taiyo Yuden, Murata & TDK because the high cost of palladium made their MLCC uncompetitive. Other manufacturers such as Samsung, KEMET and AVX adopted this technology to MLCCs based on barium titanite dielectrics. KEMET has pioneered the development of paraelectric dielectrics using Ni (as documented later in this section). Thinner layer MLCC technologies with high CV at lower voltage ratings for consumer electronics have been exclusively developed with Ni BME technology, making this, by far, the most common capacitor type by volume and market share. The use of PME capacitors in some MLCC applications that require large case size and high voltage continues but is cost-prohibitive and being challenged by thinner-layer, higher-capacitance Ni BME MLCCs. Copper inner electrodes have also been commercialized for high-frequency RF capacitors to achieve high Q, but this remains a relatively small specialty market.

Another benefit of using BMEs is the compatibility with CaZrO₃ dielectrics. A class I dielectric, CaZrO₃ has an improved dielectric strength compared to the class I dielectric traditionally used with PMEs, BaNdTiO₃ (BNT). This enables thinner dielectric layers and improved density. Figure 0-4 shows the thin dielectric layers and improved capacitance values using BMEs versus PMEs [20].

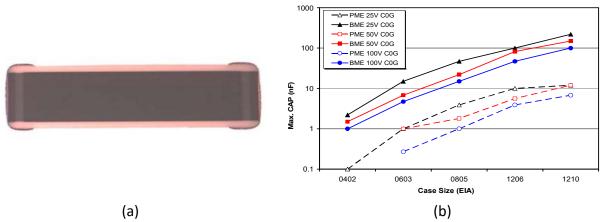


Figure 0-4. (a) Hundreds of thin electrode-dielectric layers in BME-based COG capacitor [21] (b) Improved density resulting from BME and CaZrO3 dielectric [20]

However, using a Ni inner electrode instead of a PME necessitates chemistry changes in the dielectric itself (in the form of an increased ratio of Barium to Titanium) so that there is more resistance to reduction during cofiring. Those changes often lead to quicker wear-out as insulation resistance degrades faster. The reduced insulation resistance is related to oxygen vacancies, and will be further discussed in the section, "Technology Roadblock and Solutions." Therefore, well-defined sintering processes are needed to prevent electrode oxidation and minimize defects in the dielectric.

Changes have also been applied to the terminal architecture to provide improved impedance characteristics. Lower ESL is especially important for high-frequency decoupling, as components move closer to the IC and a push to high bandwidth processing continues. One development in capacitor terminal design is the reverse terminal option. By designing the capacitor so that the terminals fall on the longer side, the terminals are moved closer together and the inductance loop is reduced (Figure 0-5). Many companies are now offering flipped-terminal options, including Samsung, TDK, and Murata.

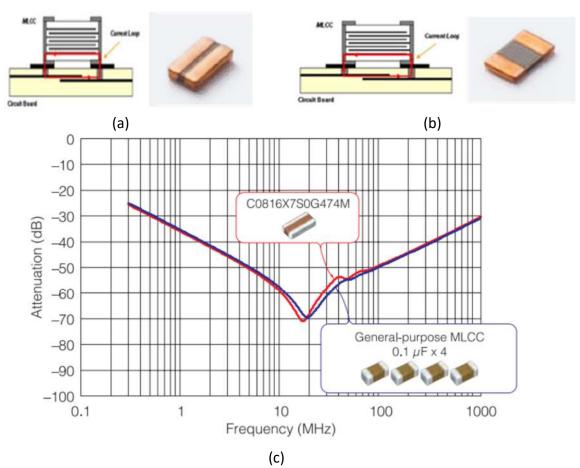


Figure 0-5. Capacitor inductance loop using (a) flipped-terminal design versus (b) standard terminal design (Source: Samsung) (c) Flipped terminal design with similar frequency characteristics as four standard MLCCs in parallel (Source: TDK)

Another method of ESL reduction is by EMI shielding (Figure 0-6). Extra shield electrodes can be added to surround the power and ground electrode layers. Cancellation of mutual inductance is achieved by the alternating flow of current in the shielding layers. The result is a reduced ESL and loss, improving the high-frequency capabilities of the capacitors.

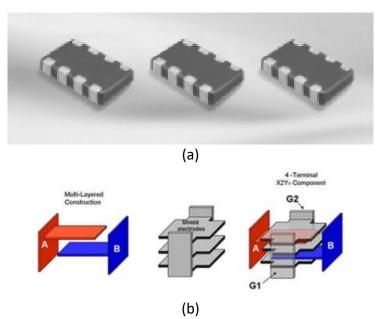
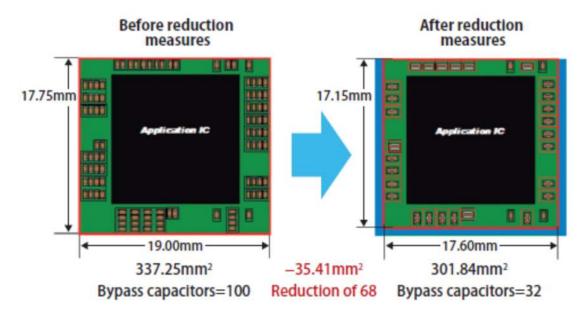


Figure 0-6. (a) Multi-terminal EMI-suppressed MLCCs with (b) internal shielding electrodes (Source: Samsung)

Usually when decoupling high-frequency processors, many capacitors in parallel are needed to reduce the total impedance of the capacitor and interconnections. With multiple terminals accessing the electrode layers, the internal inductance current can be distributed across so that they are effectively inductors in parallel, thereby reducing the total ESL. Murata has implemented this idea into their discrete components (Figure 0-7). Since not as many capacitors in parallel are needed, the total number of on-board decoupling capacitors is reduced. Only ~25 % or less of the original number of decoupling capacitors are needed, depending on the decoupling frequency, resulting in huge footprint savings and reduced board space. This becomes even more critical as efforts to remove the circuit board all together continue.



Page **12** of **41**

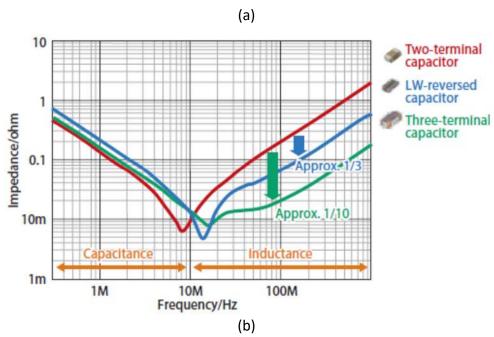


Figure 0-7. (a) Board space savings resulting from the reduction in number of decoupling capacitors when using low ESL, multiterminal designs (a) Reduced impedance from non-standard terminal designs (Source: Murata)

Capacitor References

- 1. Ulaby, F.T., Fundamentals of applied electromagnetics, in Applied electromagnetics. 1999, Upper Saddle River, N.J.: Prentice Hall: Upper Saddle River, N.J.
- 2. Anderson, E.W. and D.W. McCall, *The dielectric constant and loss of polypropylene.* Journal of Polymer Science, 1958. 31(122): p. 241-242.
- 3. Wang, J., et al., *Impact of Sr on the performance of BaTi0.9Zr0.103–BaTiO3 dielectric powders.* Modern Physics Letters B, 2014. 28(14): p. 1450114.
- 4. Togashi, M., Low-ESL Multilayer Ceramic Capacitors, TDK, Editor.
- 5. Tummala, R., *Fundamentals of Microsystems Packaging*. EPI. Vol. 1. 2001: McGraw-Hill Education.
- 6. Mikhail Popovich, A.V.M., Eby G. Friedman, *Power Distribution Networks with On-chip Decoupling Capacitors*. 2 ed. 2011, New York, NY: Springer.
- 7. Joyner, J.W., Venkatesan, R., Zarkesh-Ha, P., Davis, J.A., Meindl, J.D., *Impact of three-dimensional architectures on interconnect in gigascale integration.* IEEE Transactions on Very Large Scale Integration Systems, 2001. 9(6): p. 922-928.
- 8. Majid, A., Saleem, J., Kotte, H., Ambatipudi, R. *Design and Implementation of EMI Filter for High Frequency (MHz) Power Converters*. in *IEEE International Symposium on Electromagnetic Compatibility*. 2012.

- 9. Majid, A., Saleem, J., Alam, F., Bertilsson, K., Analysis of Radiated EMI for Power Converters Switching in MHz Frequency Range, in 9th IEEE International Symposium on Diagnostics for Electric Machines, Power Electronics and Drives. 2013. p. 428-432.
- 10. Chen, J., Resonant Switched Capacitor DC-DC Converter with Stackable Conversion Ratios, in Electrical Engineering and Computer Sciences. 2016, University of California at Berkeley.
- 11. Bultitude, J. Development Challenges for DC-Link Capacitors for Wide Band Gap Semiconductor Applications. in APEC 2017. 2017.
- 12. Wang, C.T., Chen, C.L., Hsieh, J.S., Chang, V., Yu, D. Foundry WLSI Technology for Power Management System Integration. in PwrSoC. 2016.
- 13. Fuchs, J., Reimenschneider, T., HUber, M., Leinkamp, M. *Impact of electrification on the vehicle concept potential of determining components and technologies*. in *Conference on Future Automotive Technology*. 2013.
- 14. Uher, R., Zednicek, T., *Ultrathin Discrete Capacitors for Emerging Embedded Technology*, in *CARTS Europe*. 2010: Munich, Germany.
- 15. Min, Y., Olmedo, M.H., Radhakrishnan, K., Aygun, K., *Embedded Capacitors in the Next Generation Processor*, in *Electronic Components & Technology Conference*. 2013.
- 16. Croswell R.T., S.J., Zhang M., Tungare A., Herbert J., Noda K., Bauer W., Tan P., *Embedded mezzanine capacitor technology for printed wiring boards*. Circuitree March, 2002: p. 46–48.
- 17. 3M. Electrical Performance, Miniaturization and EMI Advantages of Very High Capacitance Density Laminates in PCBs and IC Packaging. in PCB West. 2011. Santa Clara, CA.
- 18. Oak-Mitsui, Reduce EMI and Improve Power Delivery with Embedded Capacitance. 2014.
- 19. *Ultra-Small Case Size Passive Electronic Components: World Markets, Technologies & Opportunities: 2017-2022.* 2017: 502 Ballad Creek Court, Cary NC, 27519 USA.
- 20. Mikoski, E.F.J., *Chapter 21: Passive Components*, in *2017 iNEMI Roadmap*, G. O'Malley, Aschenbrenner, R., Becker, D., et al., Editor. 2017.
- 21. Bultitude, J., Jones, L., Xu, B., Magee, J., Phillips, R., *An Evaluation of BME COG Multilayer Ceramic Capacitors as Building Blocks for DC-Link Capacitors in 3-D Power Electronics*, in *3D Power Electronics Integration and Manufacturing*. 2016.
- 22. Murata Manufacturing Co., L., New Product is Thinnest in the World! 50μm Thick Ultra-Low Profile Capacitor. 2009.
- 23. Multilayer Ceramic Capacitors, in JMK Series, T. Yuden, Editor. 2018.
- 24. AVX, *UltraThin Ceramic Capacitors*, in *UT Series*.
- 25. MLCC Product Search, Samsung, Editor.

- 26. Multi-layer Ceramic Capacitor, Samsung, Editor.
- 27. Van Tassell, B., et al., *Metacapacitors: Printed Thin Film, Flexible Capacitors for Power Conversion Applications.* IEEE Transactions on Power Electronics, 2016. 31(4): p. 2695-2708.
- 28. Nanni, P., Massimo Viviani & Buscaglia, Vincenzo, Synthesis of Dielectric Ceramic Material, in Handbook of Low and High Dielectric Constant Materials and Their Applications, H.S. Nalwa, Editor. 1999. p. 429-455.
- 29. Tanaka, H., Hiroyasu, N., Yoshikawa, K., Shimizu, K., Noguchi, H., Kato, S., *Embedded High-k Thin Film Capacitor in Organic Package*, in 10th Electronics Packaging Technology Conference. 2008. p. 988-993.
- 30. Akahoshi, T., et al., Development of CPU Package Embedded with Multilayer Thin Film Capacitor for Stabilization of Power Supply. 2017: p. 179-184.
- 31. Koide, M., Fukuzono, K., Watanabe, M., Mizutani, D., Akahoshi, T., Fujisaki, H., Yamawaki, S., Fukui, K., *Development of Large-size CPU Package Structure Using Embedded Thin Film Capacitor Package Substrate*, in *IEEE 67th Electronic Components and Technology Conference*. 2017.
- 32. Takano, A., Sunohara, M., Higashi, M., Hayakawa, I., Ohta, K., Sasajima, Y., *Development of Si Interposer with Low Inductance Decoupling Capacitor*, in *Electronic Components and Technology Conference*. 2011.
- 33. Okamato, T., Osada, M., Sasaki, T., *Dielectric Thin Film, Dielectric Thin Film Element and Thin Film Capacitor*, U.S.P. Office, Editor. 2016, Murata Manufacturing Co., Ltd., National Institute for Materials Science.
- 34. Consulting, S.P., TSMC Deep Trench Capacitor: Reverse Costing Analysis,. 2016.
- 35. Jatlaoui, M.M., Leruez, S., Gaborieau, O., Bunel, C. 3D Silicon IPD for smaller and more reliable Implantable Medical Devices. in Advanced Packaging for Wireless Medical Devices. 2016. IMAPS.
- 36. Summey, B., KEMET Corporation. *Embedding Aluminum Polymer Capacitors*. in *APEC*. 2017.
- 37. Type 550C 105 °C High Ripple, Inverter Grade, Aluminum, C.D. Electronics, Editor.
- 38. Bond, J. DRY FILM CAPACITORS FOR HIGH-FREQUENCY POWER ELECTRONICS. in APEC 2017. 2017.
- 39. High Voltage Multilayer Ceramic Capacitors. Kemet.
- 40. CeraLink Capacitors for fast-switching semiconductors, TDK, Editor. 2016.
- 41. PCC Power Capacitors for HybridPACK IGBT Modules, in EPCOS Product Brief: Film Capacitors. 2016.

- 42. Balachandran, U. Cost-Effective Fabrication of High-Temperature Ceramic Capacitors for Power Inverters. in U.S. Department of Energy Vehicle Technologies Office 2017 Annual Merit Review and Peer Evaluation Meeting. 2017. Wasington D.C.
- 43. Langhe, D. Multilayered Film Capacitors for Advanced Power Electronics and Electric Motors for Electric Traction Drives. in U.S. Department of Energy Vehicle Technologies Office 2017 Annual Merit Review and Peer Evaluation Meeting. 2017. Washington D.C.
- 44. Yializis, A. High Temperature DC-Bus Capaciotr Cost Reduction and Performance Improvements. in U.S. Department of Energy Vehicle Technologies Office 2017 Annual Merit Review and Peer Evaluation Meeting. 2017. Washington D.C.
- 45. Kim, Y., et al., *High-energy-density sol-gel thin film based on neat 2-cyanoethyltrimethoxysilane*. ACS Appl Mater Interfaces, 2013. 5(5): p. 1544-7.
- 46. Kubodera, N., Oguni, T., Matsuda, M., Wada, H., Nakamura, T. *Study of the Long Term Reliability for MLCCs*. in *CARTS International*. 2012.
- 47. Sulekar, S., et al., Internal barrier layer capacitor, nearest neighbor hopping, and variable range hopping conduction in Ba1-x Sr x TiO3- δ nanoceramics. Journal of Materials Science, 2016. 51(16): p. 7440-7450.
- 48. Tsuji, K., et al., *Valence and electronic trap states of manganese in SrTiO3-based colossal permittivity barrier layer capacitors.* RSC Advances, 2016. 6(94): p. 92127-92133.
- 49. Tsuji, K., et al., Contrasting conduction mechanisms of two internal barrier layer capacitors: (Mn, Nb)-doped SrTiO3 and CaCu3Ti4O12. Journal of Applied Physics, 2017. 121(6): p. 064107.

Excerpts from INDUCTORS Chapter

Excerpt from Introduction

Increased power densities and functionality in today's electronic system drives the need to miniaturize power modules and integrate them close to the loads (ex. Processors) for efficient power management. Power modules consist of active components such as switches, drivers and controllers and passives components such as inductors. Package integration, so far, focused on embedding active components to realize the miniaturization of power modules. However, the module size is still controlled by the bulky inductors, which occupy a large footprint and thickness on the board. To realize true miniaturization of power modules, the inductors need to be miniaturized and integrated within the substrates. Integrated inductors allow them to be in proximity to ICs, resulting in short power delivery length and low parasitics. This will eventually increase the efficiency of the power modules.

There are two ways to integrate inductors with substrates. One way is to fabricate inductors separately as discrete inductors and then insert them into the substrates. These inductors are referred as "inserted inductors" in this report. The other way to integrate inductors into substrates is to directly fabricate them as films in the substrates. These inductors are called "formed inductors" in this report. The inserted and formed inductors embedded in the package substrates are shown in Figure 0-1. For both types of inductors, suitable inductor structures and advance in magnetic materials are the key factors to realize size reduction and integration of inductors with substrates.

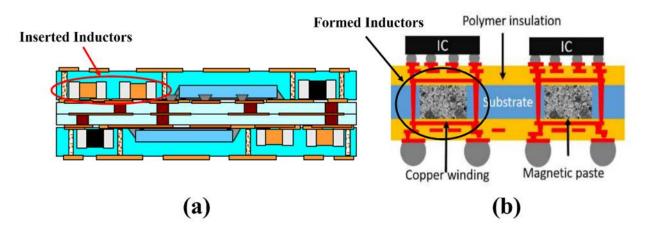


Figure 0-1: Cross-section of substrates with (a) inserted inductors (b) formed inductors [1, 2]

Excerpt from Formed Inductors Section

In addition to insert discrete inductors into substrates, inductors can also be formed directly on substrates. In this section, several formed inductors are discussed. These inductors can be either formed at wafer-level or package-level.

(1) Wafer-integrated Inductors

By using the standard CMOS manufacturing processes, magnetic inductors with small profile can be integrated with CMOS ICs using back-end compatible process options [23]. Ferric, Inc demonstrated magnetic thin-film inductors that are integrated into the ICs, as shown in Figure 0-2. The inductors allow a shorter path for the power to be delivered to the ICs, resulting in a lower I²R loss. The magnetic materials in the inductors are made of amorphous Cobalt alloy with low coercivity (< 1 Oe) and high saturation field (~ 25 Oe). The magnetic films are separated by thin insulation layers, as shown in Figure 0-3, in order to suppress eddy current loss. The multilayered magnetic films show high permeability of 600 up to 100 MHz. Inductors with the films as magnetic cores show stable inductance until 100 MHz as shown in Figure 0-4 [23].

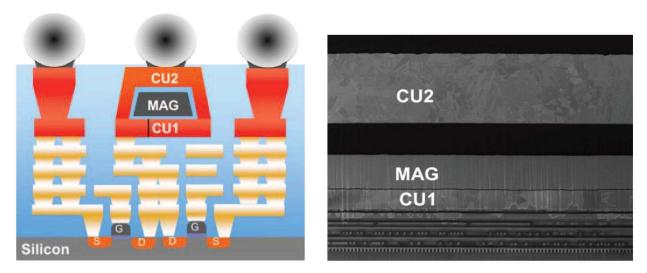


Figure 0-2: Left: Illustration of magnetic thin-film inductors integrated with ICs; Right: SEM cross-section of magnetic thin-film inductors integrated with ICs[23]



Figure 0-3: SEM of multilayered magnetic films[23]

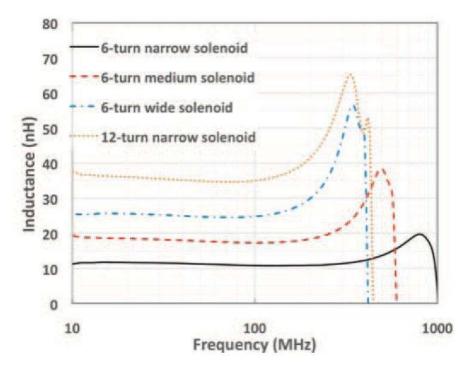


Figure 0-4: Inductance of magnetic thin-film inductors – source [23]

Radial field anisotropy to enhance power handling in toroid inductors:

Because of their high power-handling characteristics, toroidal inductors are good candidates for power applications. For toroidal inductors, all the magnetic flux is constrained within the magnetic cores, forming a closed magnetic loop. The closed loop leads to low flux leakage and high performance. As compared to spiral or stripline inductors, which require deposition of two magnetic layers and vias, toroidal inductors only need one magnetic layer. This

can reduce the cost of the deposition done by expensive and low-throughput processes such as sputtering. Because the magnetic flux changes direction as it travels along the magnetic cores, the cores need to have a radial anisotropy property for high performance. The illustration of the radial anisotropy is shown in Figure 0-5. The Magnetics and Power Electronics Research Group at Dartmouth College has demonstrated a way to induce radial anisotropy to toroidal inductors as shown in Figure 0-6 [24]. The fabrication process is CMOS-compatible and allow the inductors to be integrated onto Si substrates along with the ICs. Fabrication of toroid inductors on Si substrate with induced radial anisotropy is shown in Figure 0-7.

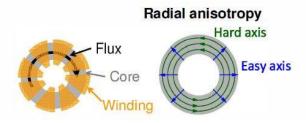


Figure 0-5: Illustration of magnetic flux in toroidal inductors and radial anisotropy [24].

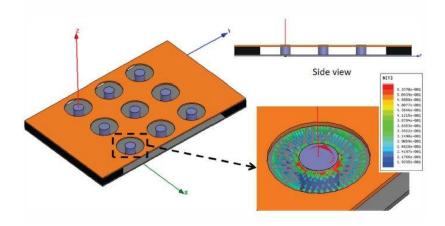


Figure 0-6: Schematic of the structure to introduce radial-anisotropy to toroidal cores – Source [24].

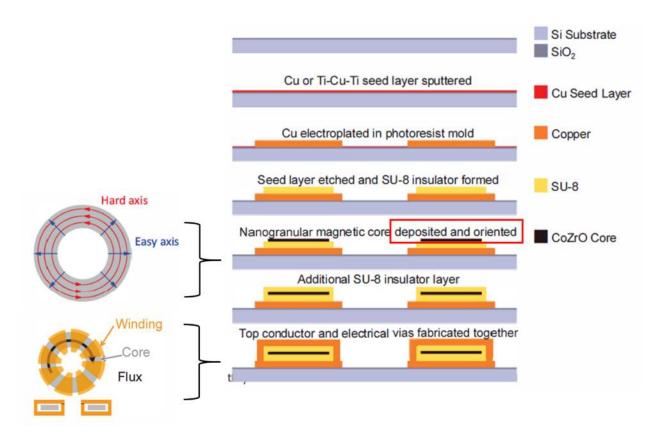


Figure 0-7: Fabrication process of toroid inductors with induced radial anisotropy. The anisotropy is induced by using the structure shown in Figure 0-6.

(2) Package-embedded Inductors

Ferric showed stripline inductors integrated on 500 mm thick flexible substrates as shown in Figure 0-8. Sputtered Co-Zr-Ta-B (CZTB) films were used as the magnetic cores [25, 26]. The films are amorphous to obtain low coercivity (H_c) and high saturation magnetization (M_s). The polyimide substrates are flexible and can be used in wearable electronics. The stripline inductors and flexible polyimide substrates are shown in Figure 0-8. As compared to air-core inductors, the magnetic-core inductors show 2X improvement in inductance. The improved inductance is stable until 1 GHz as shown in Figure 0-9, making them suitable for high-frequency applications. It is worth to note that the inductance variation is less than 5% with 8° of bending. This stable inductance leads them to applications in flexible electronics.

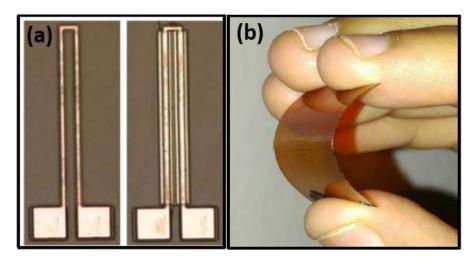


Figure 0-8:(a) Air-core stripline inductors on the left and magnetic-core stripline inductors on the right. (b) flexible polyimide substrates - source [25]

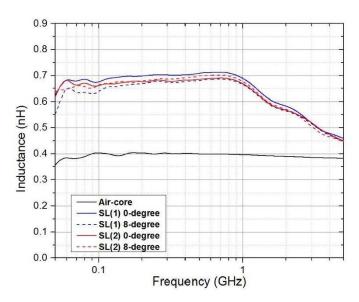


Figure 0-9: Inductance of the air-core inductors, magnetic-core inductors and bended magnetic-core inductors – source [25]

Inductor References

- [1] Sheet Form Encapsulant Materials 2016
- [2] T. Sun, H. Sharma, P. M. Raj, F. Yoshihiro, S. Hachiya, K. Takemura, and R. Tummala, "Substrate Embedded Thin-Film Inductors With Magnetic Cores for Integrated Voltage Regulators," *IEEE Transactions on Magnetics*, vol. 53, no. 10, pp. 1-9, 2017.
- [3] A. Hindmarch, D. Arena, K. Dempsey, M. Henini, and C. Marrows, "Influence of deposition field on the magnetic anisotropy in epitaxial Co 70 Fe 30 films on GaAs (001)," *Physical Review B*, vol. 81, no. 10, pp. 100407, 2010.
- [4] C. R. Sullivan, J. Qiu, D. V. Harburg, and C. G. Levey, "Batch fabrication of radial anisotropy toroidal inductors." pp. 1-15.
- [5] J. Qiu, and C. R. Sullivan, "Radial-Anisotropy Thin-Film Magnetic Material for High-Power-Density Toroidal Inductors." pp. 1-6.

- [6] K. Santosh, "PwrSOC Pasives-Inegrated magnetics."
- [7] C. Ó. Mathúna, N. Wang, S. Kulkarni, and S. Roy, "Review of integrated magnetics for power supply on chip (PwrSoC)," *IEEE Transactions on Power Electronics*, vol. 27, no. 11, pp. 4799-4816, 2012.
- [8] M. A. Swihart, "Inductor cores—material and shape choices," *Magnetics®-www. mag-inc. com*, 2004.
- [9] P. M. Raj, D. Mishra, H. Sharma, M. Swaminathan, and R. Tummala, "Nanomagnetic Materials and Structures, and their Applications in Integrated RF and Power Modules," *Nanomagnetism*, J. M. G. Estevez, ed., pp. 13-16: One Central Press (OCP), 2014.
- [10] M. S. Rylko, K. J. Hartnett, J. G. Hayes, and M. G. Egan, "Magnetic material selection for high power high frequency inductors in dc-dc converters." pp. 2043-2049.
- [11] L. Hitachi Metals America, "Amorphous & Nanocrystalline," 2017.
- [12] "Ferrites: Low losses at high frequencies," TDK Corporation, 2017.
- [13] W. Zhang, Y. Su, D. Gilham, M. Mu, Q. Li, and F. C. Lee, "High frequency high current point of load modules with integrated planar inductors." pp. 504-511.
- [14] K. Kawano, and J. Nakajima. "Material advances enable new power inductors," Dec 22, 2017; https://www.electronicproducts.com/Passive_Components/Magnetics_Inductors_Transformers/Material advances enable new power inductors.aspx.
- [15] N. Wang, T. O'Donnell, S. Roy, M. Brunet, P. McCloskey, and S. C. O'Mathuna, "High-frequency micro-machined power inductors," *Journal of Magnetism and Magnetic Materials*, vol. 290-291, no. Part 2, pp. 1347-1350, 2005/04/01/, 2005.
- [16] N. Wang, T. O'Donnell, S. Roy, P. McCloskey, and C. O'Mathuna, "Micro-inductors integrated on silicon for power supply on chip," *Journal of Magnetism and Magnetic Materials*, vol. 316, no. 2, pp. e233-e237, 2007/09/01/, 2007.
- [17] R. Meere, T. O. Donnell, N. Wang, N. Achotte, S. Kulkarni, and S. C. O. Mathuna, "Size and Performance Tradeoffs in Micro-Inductors for High Frequency DC-DC Conversion," *IEEE Transactions on Magnetics*, vol. 45, no. 10, pp. 4234-4237, 2009.
- [18] P. R. Morrow, C. M. Park, H. W. Koertzen, and J. T. DiBene, "Design and Fabrication of On-Chip Coupled Inductors Integrated With Magnetic Material for Voltage Regulators," *IEEE Transactions on Magnetics*, vol. 47, no. 6, pp. 1678-1686, 2011.
- [19] D. Yao, C. G. Levey, R. Tian, and C. R. Sullivan, "Microfabricated V-Groove Power Inductors Using Multilayer Co-Zr-O Thin Films for Very-High-Frequency DC-DC Converters," *IEEE Transactions on Power Electronics*, vol. 28, no. 9, pp. 4384-4394, 2013.
- [20] K. Koh, D. S. Gardner, C. Yang, K. P. O. brien, N. Tayebi, and L. Lin, "High frequency microwave on-chip inductors using increased ferromagnetic resonance frequency of magnetic films." pp. 208-211.
- [21] S. Bierlich, T. Reimann, H. Bartsch, and J. Töpfer, "Co/Ti-substituted M-type hexagonal ferrites for high-frequency multilayer inductors," *Journal of Magnetism and Magnetic Materials*, vol. 384, no. Supplement C, pp. 1-5, 2015/06/15/, 2015.
- [22] D. M. Zogbi, *Thin Film Passive Components: World Markets, Technologies & Opportunities:* 2016-2021, Paumanok Publications, Inc., 2016.
- [23] N. Sturcken, R. Davies, H. Wu, M. Lekas, K. Shepard, K. W. Cheng, C. C. Chen, Y. S. Su, C. Y. Tsai, K. D. Wu, J. Y. Wu, Y. C. Wang, K. C. Liu, C. C. Hsu, C. L. Chang, W. C. Hua, and A.

- Kalnitsky, "Magnetic thin-film inductors for monolithic integration with CMOS." pp. 11.4.1-11.4.4.
- [24] C. R. Sullivan, J. Qiu, D. V. Harburg, and C. G. Levey, "Batch fabrication of radial anisotropy toroidal inductors." pp. 1-15.
- [25] H. Wu, M. Khdour, P. Apsangi, and H. Yu, "High Frequency Magnetic Thin Film Inductor Integrated on Flexible Organic Substrates," *IEEE Transactions on Magnetics*, vol. PP, no. 99, pp. 1-1, 2017.
- [26] H. Wu, D. S. Gardner, C. Lv, Z. Zou, and H. Yu, "Integration of magnetic materials into package RF and power inductors on organic substrates for system in package (SiP) applications." pp. 1290-1295.
- [27] W. Steplewski, W. Steplewski, A. Dziedzic, A. Dziedzic, J. Borecki, J. Borecki, T. Serzysko, and T. Serzysko, "Assessment of stability and reliability of embedded components in printed circuit boards," *Circuit World*, vol. 43, no. 1, pp. 19-26, 2017.

Excerpt from RESISTORS Chapter

Except from Introduction

The need for miniaturized electronics have increased the global consumption of thin-film resistors by 8% in the last decade, while the cost of these units have steadily decreased over time with an average unit price of \$0.082 per unit in 2016 [2]. This highly competitive and aggressive market needs are governed by different application areas, ranging from consumer electronics such as DC/DC convertors, automotive to specialty markets such as medical, defense and oil & gas industry.

The drivers for the resistors include tight tolerances, the corrosion resistance, moisture resistance and rugged nature of the thin-film designs. Paumanok market analysis estimates that the automotive industry is the largest segment in the market for thin-film resistors with almost 15% increased demand in last decade. Automotive industry requires resistors with high precision resistance, high temperature tolerance and anti-sulfur and corrosion resistance. With the increased complexity and density of electronics design, embedding components offers a practical solution to several issues. Embedding can help reduce the size of the package and improve performance. Resistors can be embedded into PCBs as films, using substrate-compatible panel-scale processes, either by the use of prefabricated special layers that are laminated, etched, connected and optionally buried in a multilayer PCBs, or by employing carbon screen-printing. This section of the report briefly discusses the embedded resistor technologies with details on latest commercial products with advanced materials and designs. Novel resistive materials research, with high potential of commercialization in near future, are also reported towards the later part of the report.

Excerpt from Advances in Embedded Resistors Section

A major thrust towards thin-film resistors is also been seen because of its ability to be integrated in the package either as formed or inserted. When the resistor is fabricated directly on the package substrate or wafer, it is termed as a "formed resistor". On the other hand, if the resistor possesses thin profile such as (01005 or 0201 type), and can be embedded between the substrate layers, it is termed as "inserted resistor". References [5] and [6] show examples of the formed and inserted resistors respectively.

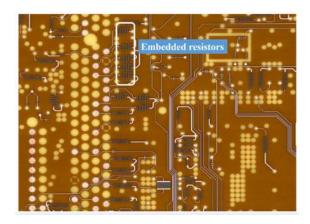


Figure 0-1: Formed resistors on printed circuit board – source Ohmega Technologies, Inc. [5]

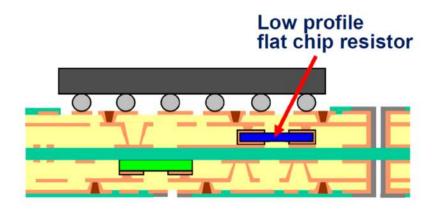


Figure 0-2: Inserted resistors in printed circuit board – source KOA Speer Electronics Inc. [6]

Ticer Technologies

Ticer has designed a low-loss formed resistor ("TCRTM" series) for high-frequency applications. Manufactured using a thin-film vapor disposition, TCR ensures the best uniformity of resistor material (NiCr thin-film) across the sheet for higher yields and improved resistor tolerances in multilayer organic packages. It claims to reduce form factor, increase the space available for active components, reduce weight and improve performance. Used with existing printed circuit fabrication processes and chemistries, "TCRTM" would minimize or eliminate additional equipment needs and shortens learning curves.

Thickness of the resistive layer is precisely controlled by utilizing a proven vacuum metallization process, which ensures uniform ratios of the elements in the deposited alloy and results in minimal resistance variation. The resistance is isotropic and is not dependent on the machine or grained direction of the copper foil or resistive layer.

Recent commercial resistors with advanced properties are reported next.

Aeroflex

Aeroflex A3RS91.1 PPC and NPC series power resistors show high power in 100 Watts range. Because of the use of substrates with high thermal conductivity, such as aluminum nitride (AIN) and Beryllium oxide (BeO), the resistors provide high power dissipation when mounted on an appropriate heat sink. Figure 0-3 shows conductivity of various ceramic materials. The thermal conductivity of AIN and BeO is much higher than Al_2O_3 , which is commonly used as the substrate for resistors. The thickness of the high-power resistors ranges from 0.25 to 1.02 mm. The small thickness shows the potential for substrate-embedding. The resistance of the high-power resistors is only 50 or 100 Ω . Figure 0-4 shows the image of the high-power resistors in different package sizes.

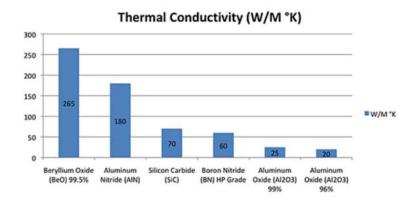


Figure 0-3: Thermal conductivity of various ceramic materials [7].

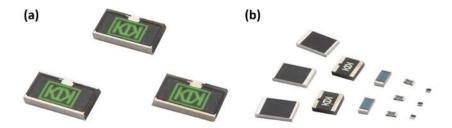


Figure 0-4: Aeroflex high-power resistors (a) AIN substrates (b) BeO substrates – source Aeroflex

Vishay

Vishay RCP series thick-film resistors can handle power of up to 22 Watts because of the use of AIN substrates. The power resistors have wide range of resistances, ranging from 10Ω to $2k\Omega$ and small thickness of 0.51 mm, which make them suitable for substrate embedding. Table 0-1 tabulates the electrical specifications of RCP series resistors;

Table 0-1: Standard electrical specifications of RCP series thick film resistors – source Vishay

Number	Case size	Power rating (standard board mount) W	Power rating (active temperature control) W	Resistance range (Ω)	Tolerance (%)	Temperature coefficient (ppm/°C)
RCP0505	0505	1.4	5.0	10 to 2K	1,2,5	150
RCP0603	0603	1.5	3.9	10 to 2K	1,2,5	150
RCP1206	1206	2.4	11	10 to 2K	1,2,5	150
RCP2512	2512	3.5	22	10 to 2K	1,2,5	150

Vishay

High Power, 1.5kW Discrete Resistors

In 2017, Vishay introduced a 1,500W range of all-welded wire-wound resistors called RBSF1500, which spans 0.234 to 303Ω and offer tolerances down to 1%. These are available as silicon-coated or glass-coated variant as RBEF1500. They are 508mm long and 63.5mm in diameter as shown in Figure 0-5 and weigh 1.9kg each.



Figure 0-5: Photo image of Vishay's high power resistors - Source: Electronics Weekly, Oct 2017

KOA Speer

KOA's XR73 series resistors are especially designed for inserted resistors. The resistors have ultra-low thickness profile of 0.13 and 0.14 mm, which enable the resistors to be embedded into substrates. The resistors also have copper terminations, which assists the formation of reliable copper interconnections. Figure 0-6 shows the process to embed the low-profile resistors into substrates with copper interconnections. By embedding the resistors into substrates, the resistors can be also be shielded from oxidation and corrosive environments resulting in high reliability as illustrated in Figure 0-7[6].

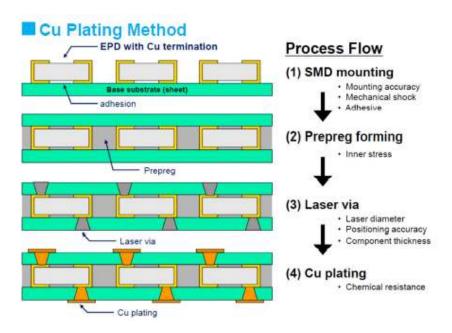


Figure 0-6: Process to embed resistors in substrates – source KOA Speer Electronics Inc. [6]

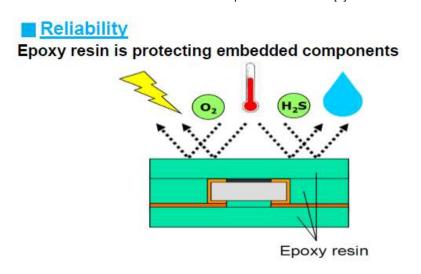


Figure 0-7: Embedded resistors are isolated from corrosion and oxidation environments resulting in high reliability – source KOA Speer Electronics [6].

Resistor References

- [1] V. Solberg, "Design and Assembly Process Implementation for Embedding Passive and Active Components," in *SMTA*, Wisconsin.
- [2] D. M. Zogbi, "Thin Film Passive Components: World Markets, Technologies & Opportunities: 2016-2021," Paumanok Publications, Inc.2016.
- [3] M. Michel, C. Biswas, C. S. Tiwary, G. A. Saenz, R. F. Hossain, P. Ajayan, *et al.*, "A thermally-invariant, additively manufactured, high-power graphene resistor for flexible electronics," *2D Materials*, vol. 4, p. 025076, 2017.

- [4] G. Zhou, C.-Y. Chen, Z. Lin, L. Li, Z. Tao, W. He, *et al.*, "Effects of Mn2+ on the electrical resistance of electrolessly plated Ni–P thin-film and its application as embedded resistor," *Journal of Materials Science: Materials in Electronics*, vol. 25, pp. 1341-1347, 2014.
- [5] B. Mahler, "Printed Circuit Board Embedded Thin Films Resistors," in *IMS*, Honolulu, Hawaii, 2017.
- [6] KOA Embedded Resistors [Online].
- [7] Beryllium Oxide. Available: https://www.americanberyllia.com/beryllium-oxide
- [8] K. Coates, C.-P. Chien, Y.-Y. Hsiao, D. Kovach, C.-H. Tang, and M. Tanielian, "Development of thin film resistors for use in multichip modules," in *Multichip Modules and High Density Packaging, 1998. Proceedings. 1998 International Conference on*, 1998, pp. 490-495.
- [9] S. K. Bhattacharya, P. M. Raj, S. Jain, and J. Papapolymerou, "embedded resistors," ed.
- [10] P. M. Raj, C. Nair, H. Lu, F. Liu, V. Sundaram, D. W. Hess, *et al.*, ""zero-undercut" semi-additive copper patterning a breakthrough for ultrafine-line RDL lithographic structures and precision RF thinfilm passives," in *2015 IEEE 65th Electronic Components and Technology Conference (ECTC)*, 2015, pp. 402-405.
- [11] M. Alafogianni, R. Penlington, and M. Birkett, "Resistor trimming geometry; past, present and future," in *IOP Conference Series: Materials Science and Engineering*, 2016, p. 012002.
- [12] Resistors for Embedded Substrates [Online]. Available: https://www.koaglobal.com/en/product/basic/epd

Excerpt from 3D Power Packaging with Focus on Embedded Components Chapter

Excerpt from Introduction

As the power semiconductor technology has made impressive progress over the last few decades, power packaging also has advanced in various forms to support different power products [1]. The advances in power module technologies are primarily driven by power systemon-chip (SoC) with wafer-scale integration, or power system-in-package (SiP) with 2D and 3D power packaging in order to achieve the heterogeneous integration of different functional components. Figure 0-1 illustrates a map that defines the terms used in different power products with different functional components [2]. The term "heterogeneous" in this report is refers to any combination of two or more of the different functional components in the middle of Figure 0-1. For example, the high-power multi-chip module in Figure 6-1, is a heterogeneous package form that includes power FETs and power diodes that often appear in a half-bridge configuration, especially in high-power (> 1kW) inverter applications. High-power multi-chip modules may or may not include the control IC and FET drive in the package.

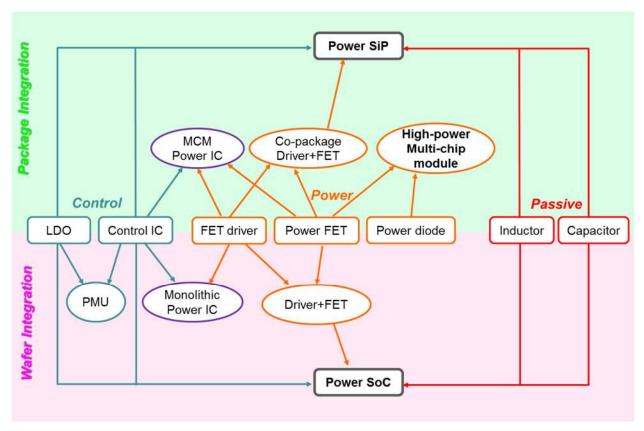


Figure 0-1 Definitions of different power products including power SiP and power SoC [2]

3D power packaging extends the SiP by further utilizing the Z-axis for integration of active- or passive-embedding with vertical interconnects to increase the power density, reduce the package footprint and also lower the cost and packaging layers and interfaces [2].

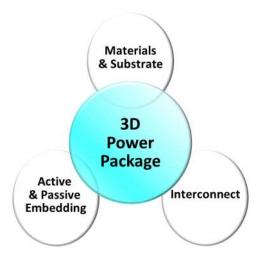


Figure 0-2 Three basic building blocks of 3D power package

Figure 0-2 illustrates the basic building blocks of a 3D power package. This report reviews advances in all the aspects of the three basic building blocks: materials & substrate, interconnect, and active & passive embedding. This section specifically focuses on 3D power packaging with active and passive embedding, while the other two building blocks (substrate materials and interconnects) are discussed in separate sections.

Excerpt from 3D Power Package Technologies with Focuses on Embedded Components Section

The major role of packaging in power electronics is to provide electrical connection for semiconductor device to the outer circuitry, to mechanically protect the device from the environment, and to dissipate the generated heat effectively for long-term reliability and safe operation. At the same time, power products have been continuously asked to deliver higher power density at lower cost with improved efficiency [3]. 3D power packaging technologies have been showing rapid development and adoption by many of the power products in recent 5 years in order to fulfill the requirements and needs mentioned above. A noticeable development in 3D power packaging is through embedding technology, which will be discussed in detail in later sections.

The importance of embedding technologies arise from the need for heterogeneous and higher level of integration. In the past, power supply products were either provided in a monolithic power system IC, where all the functions of a system are integrated into a single chip package, or discrete components assembled on a substrate in a planar (2D) manner. Increasing cost and complexity of fabrication process of power SoC led the industry to seek for cost-effective and

functionally flexible alternatives, however, with smaller footprint than the discrete approach [1]. As a result, the concept of SiP (System-in-Package) has emerged as an alternative solution for heterogeneous integration. SiP integrates a number of functional ICs and passives enclosed in a single module (package) that fully or mostly functions as an electronic system. Compared to the monolithic IC approach, SiP technology can provide more integration flexibility, faster time-to-market, and lower R&D and product cost in many of the market segments. These advantages of SiP become particularly more important for high-power modules that are used in areas such as automotive, renewable energy, and other industrial applications [1]. Embedding technology is an advanced SiP approach that enables 3D integration which brings benefits to the power package compared to the conventional packaging technologies. Figure 0-3 illustrates the types of embedding technologies and is described in more detail below. [7]

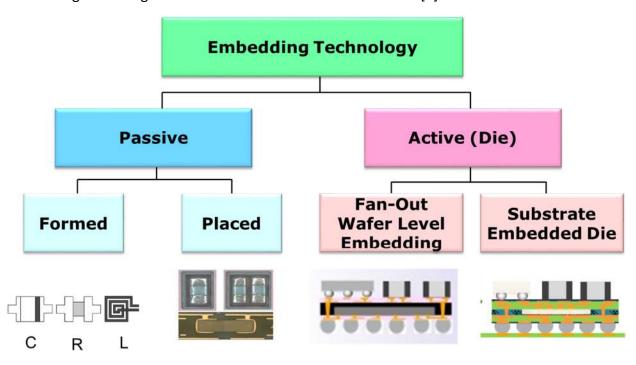


Figure 0-3. Active and passive embedding technologies [7].

Passive components can be embedded into a package by forming method or placing method. These are illustrated in the left side of Figure 0-3. The formed passive elements are created by adding materials to printed circuit structure, whereas the placed passive elements are pre-made discrete components assembled into substrate package during the PCB fabrication process.

Active semiconductor dies such as, control IC, driver, power FET can be embedded through wafer fan-out packaging or panel-substrate embedding methods. In wafer fan-out embedding, dies from a wafer are diced and reconfigured on a wafer-like carrier separated from each other with some distances to allow fan-out of the individual die interconnections. The entire carrier with dies is covered with overmold material, and RDL (redistribution layer) is formed on top of the molding compound after it is cured. The dies are then embedded in a molding compound in a

wafer-level, and becomes individual components after singulation. This type of wafer-level embedding is also called as fan-out wafer level packaging (FOWLP).

Another method of embedding dies is panel-substrate embedding. Substrates can be organic-based such as standard FR4, or inorganic substrates such as glass or ceramic. A typical substrate-embedding process starts with a die placed on a substrate core, and followed by lamination of polymer materials on the top-side. The sandwiched structure, then, goes through sequence of via drilling and filling processes to form RDLs on single or both sides of the die.

The benefits that embedding technology brings to the power products can be summarized as followings:

- Miniaturization through foot-print reduction and higher component integration
- Improved electrical performance by reduced parasitic effects
- Improved mechanical performance through durable Cu interconnections and protective enclosure of package
- Improved thermal performance by direct Cu connections
- Manufacturing cost reduction due to wafer-level or large panel size mass process.

In the following sections, recent examples of both wafer-integration and 3D power packaging technologies will be introduced, and their key innovations related to electrical & thermal performances, and manufacturing will be discussed.

3D Power Packaging References

- [1] Y. Liu, "Power Electronics Packaging: Design, Assembly Process, Reliability and Modeling", Springer, 2012
- [2] A. Avron, "PowerSoC & PowerSiP markets are preparing. Are you?", International Workshop on Power Supply on Chip, October 2016
- [3] B. Narveson, E. Parker, "Significant Developments and Trends in 3D Packaging of Power Products", PSMA, 2015, Retrieved from http://www.psma.com/sites/default/files/uploads/techforums-packaging/presentations/is83-significant-developments-and-trends-3d-packaging.pdf
- [4] "Vision and Roadmap: Routing Telecom and Data Centers Toward Efficient Energy Use", U.S. Department of Energy, May 13 2009, Retrieved from https://www1.eere.energy.gov/manufacturing/datacenters/.../vision and roadmap.pdf
- [5] J.C Eloy, "How autonomous driving and transport electrification are changing the needs for semiconductor devices for the car industry", FUTURECAR: New Era of Automotive Electronics Workshop, November 2017

- [6] "Vehicle Technologies Office: Electric Drive Systems Research and Development", Office of Energy Efficiency & Renewable Energy, U.S. Department of Energy, September 2016, Retrieved from http://energy.gov/eere/vehicles/vehicletechnologiesofficeelectricdrivesystems
- [7] J. M. Yannou, "High Efficiency Power Solutions by Chip Embedding", Semicon West, July 2016
- [8] A. Lidow, D. Reusch, J. Glaser, "Getting from 48V to Load Voltage: Improving Low Voltage DC-DC Converter Performance with GaN Transistors", IEEE Applied Power Electronics Conference, March 2016
- [9] N. Sturcken, "Thin Film Inductors for Integrated Power Conversion", IEEE Applied Power Electronics Conference, March 2017
- [10] G. Sheridan, "At the Speed of GaN", Center for Power Electronics Systems Annual Meeting, April 2017
- [11] M. Romig, O. Lopez, "3D packaging advancements drive performance, power and density in power devices", Texas Instruments, July 2011 Retrieved from www.ti.com/lit/an/slit126/slit126.pdf
- [12] P. Brohlin, "Electrical and thermal packaging challenges for GaN devices", International Workshop on Power Supply on Chip, October 2016
- [13]U. Chaudhry, C. DeVries, S. Kummerl, C. H. Lim, "Powerful solutions come in small packages", Texas Instruments, February 2016
- [14] L. J. Smith, "3D SiP with embedded chip providing integration solutions for power applications," 2016 International Symposium on 3D Power Electronics Integration and Manufacturing (3D-PEIM), 2016.
- [15] TDK Corporation, "SESUB Technology –Embedded Package", Semicon Taiwan, September 2015
- [16] G. Miller, "12V PowerStage in Embedded Die System-in-Package", IEEE Applied Power Electronics Conference, March 2015
- [17] E. Burton, "Package and platform view of INTEL's fully integrated voltage regulators (FIVR), IEEE Applied Power Electronics Conference, March 2015
- [18] Y. Su, W. Zhang, Q. Li, F. C. Lee, M. Mu, "High Frequency Integrated Point of Load Module with PCB Embedded Inductor Substrate", IEEE Applied Power Electronics Conference, March 2013
- [19] W. Liu, Y. –F. Liu, L. Wang, D. Malcolm, "A 3D Stacked Step-Down Integrated Power Module", IEEE Applied Power Electronics Conference, March 2017

- [20] Powerex Incorporated, "New DIPIPM Featuring High Threshold Voltage SiC MOSFETs", IEEE Applied Power Electronics Conference, March 2017
- [21] "Introducing Vicor's Converter housed in Package (ChiP) Technology", Vicor, Retrieved from http://www.vicorpower.com/documents/whitepapers/wp-ChiP-Backgrounder.pdf
- [22] Vicor, "BCM Bus Converter Module", Isolated, Fixed Ratio Bus Converter Family datasheet, August 2017
- [23] P. Di Maso, L. Lu, "GaN E-HEMTs Enable Innovation in Power Switching Applications", IEEE Applied Power Electronics Conference, March 2017
- [24] VisIC, "GaN Power Switch & ALL-Switch Platform", AN01V650 Application Notes, 2016
- [25] U. Scheuermann, "Reliability of Planar SKiN Interconnect Technology", International Conference on Integrated Power Electronics Systems (CIPS), March 2012
- [26] K. Weidner, M. Kaspar, "Planar Interconnect Technology for Power Module System Integration", International Conference on Integrated Power Electronics Systems (CIPS), March 2012
- [27] Y. Takahashi, "The Latest Si and SiC Power Module Package Technology", FUTURECAR: New Era of Automotive Electronics Workshop, November 2016
- [28] M. Anwar, M. Hayes, A. Tata, M. Teimorzadeh et al., "Power Dense and Robust Traction Power Inverter for the Second-Generation Chevrolet Volt Extended-Range EV," SAE Int. J. Alt. Power. 4(1):145-152, 2015
- [29] Z. Liang, "Planar-Bond-All: A Technology for Three-dimensional Integration of Multiple Packaging Functions into Advanced Power Modules", IEEE International Workshop on Integrated Power Packaging (IWIPP), 2015
- [30] J. Palmour, "SiC MOSFETs and Power Modules for Industrial Applications", IEEE Applied Power Electronics Conference, March 2017
- [31] Infineon, "Infineon power modules for hybrid and electric vehicles help meet space constraints and deliver higher power density", May 2016, Retrieved from https://www.infineon.com/cms/en/about-infineon/press/market-news/2016/INFATV201605-056.html
- [32] "Products for Electric and Hybrid Vehicles", Denso, 2012, Retrieved from www.globaldenso.com/en/newsreleases/events/otherexhibitions/.../DAE12 ev hv.pdf
- [33] H. Ishino, T. Watanabe, K. Sugiura and K. Tsuruta, "6-in-1 Silicon carbide power module for high performance of power electronics systems," 2014 IEEE 26th International Symposium on Power Semiconductor Devices & IC's (ISPSD), Waikoloa, HI, 2014.

- [34] L. Stevanovic, "Packaging Challenges and Solutions for Silicon Carbide Power Electronics", IEEE Electronic Components and Technology Conference, May 2012
- [35] S. Schoser, C. Friederich, E. Geinitz, V. Wei, "Highly Reliable Transfer-Molded Power Modules", EE Power, May 2015, Retrieved from https://eepower.com/power-converters/highly-reliable-transfer-molded-power-modules-robert-bosch-334
- [36] "p² Pack the Power Embedding Solution", Schweizer, November 2017, Retrieved from https://www.schweizer.ag/en/products-solutions/embedding/p2_Pack_en.html
- [37] D. Kearney, S. Kicin, E. Bianda, A. Krivda, "PCB Embedded Semiconductors for Low-Voltage Power Electronic Applications", IEEE Transactions on Components, Packaging, and Manufacturing Technology, VOL. 7, NO. 3, March 2017
- [38] Z. Jouini, Z. Valdez-nava, and D. Malec, "Failure Analysis of Ceramic Substrates Used in High Power IGBT Modules," pp. 561–571, 2016.
- [39] N. Chasserio and T. Lebey, "Ceramic Substrates for High-temperature Electronic Integration," vol. 38, no. 1, pp. 164–165, 2009.
- [40] Hromadka, K., Stulik, J., Reboun, J., &Hamacek, A. (2014). DBC Technology for Low Cost Power Electronic Substrate Manufacturing. Procedia Engineering, 69, 1180–1183.http://doi.org/10.1016/j.proeng.2014.03.107
- [41] Dupont, L., Khatir, Z., Lefebvre, S., & Bontemps, S. (2006). Effects of metallization thickness of ceramic substrates on the reliability of power assemblies under high temperature cycling. Microelectronics Reliability, 46(9-11), 1766–1771. http://doi.org/10.1016/j.microrel.2006.07.057
- [42] L. Stevanovic and D. Ph, "Packaging Challenges and Solutions for Silicon Carbide Power Electronics Semiconductor Materials for," ECTC 2012.
- [43] D. J. Kearney, S. Kicin, E. Bianda, and A. Krivda, "PCB Embedded Semiconductors for Low-Voltage Power Electronic Applications," vol. 7, no. 3, pp. 387–395, 2017.
- [44] L. Stevanovic and D. Ph, "Packaging Challenges and Solutions for Silicon Carbide Power Electronics Semiconductor Materials for," ECTC 2012.
- [45] L. Yin, K. Nagarkar, A. Gowda, C. Kapusta, R. Tuominen, D. Sherman, and T. Johnson, "POL-kw Modules for High Power Applications," 2017.
- [46] L. Yin, K. Nagarkar, A. Gowda, C. Kapusta, R. Tuominen, P. Gillespie, D. Sherman, T. Johnson, S. Hayashibe, H. Ito, and T. Arai, "Reliability of POL-kw Power Modules," ICEP 2017 proceedings
- [47] L. Boettcher, S. Karaszkiewicz, D. Manessis, and A. Ostmann, "Development of Embedded Power Electronics Modules." IEEE ESTC, 2012

- [48] Zhao, Xin, et al. "Novel Polymer Substrate-Based 1.2 kV/40A Double-Sided Intelligent Power Module." Electronic Components and Technology Conference (ECTC), 2017 IEEE 67th. IEEE, 2017.
- [49] Guyenot, M., et al. "New Resin Materials for High Power Embedding." Electronic Components and Technology Conference (ECTC), 2017 IEEE 67th. IEEE, 2017.
- [50] Dwarakanath, Shreya, et al. "Infusing Inorganics into the Subsurface of Polymer Redistribution Layer Dielectrics for Improved Adhesion to Metals Interconnects." Electronic Components and Technology Conference (ECTC), 2017 IEEE 67th. IEEE, 2017.
- [51] F. Nehm, F. Dollinger, H. Klumbies, L. Müller-meskamp, K. Leo, A. Singh, C. Richter, U. Schroeder, T. Mikolajick, C. Hossbach, M. Albert, and J. W. Bartha, "Atomic layer deposited TiO x / AlO x nanolaminates as moisture barriers for organic devices," vol. 38, pp. 84–88, 2016.
- [52] M. Occhionero, R.A. Hay, R.W. Adams, and K.P. Fennessyet al., ""Aluminum Silicon Carbide (AlSiC) For Cost-Eeffective Thermal Management and Functional Microelectronic Packaging Design Solutions," Proceedings of the 12th European Microelectronics and Packaging Conference, Chartley, MA, vVol. 8, 1999.
- [53] J.-F. Silvain, A. Veillère, and Y. F. Luet al., "Copper-Carbon and Aluminum-Carbon Composites Fabricated by Powder Metallurgy Processes," Journal of Physics: Conference Series, Vol. 525, pp. 012015, 2014.
- [54] S. Dwarakanath, P. M. Raj, K. Demir, V. Smet, V. Sundaram, R. Tummala, "Electrodeposited copper-graphite composites for low-CTE integrated thermal structures," in International Symposium on Microelectronics: FALL 2016, Vol. 2016, No. 1, pp. 88-93
- [55] D. L. Saums and R. A. Hay, "Developments for copper-graphite composite thermal cores for PCBs for high-reliability RF systems," in Integrated Power Systems (CIPS), 2014 8th International Conference on, 2014, pp. 1-6: VDE.
- [56] R. Beyerle, M. Smalc, R. Wayne, and R. Reynolds, "A Comparison of Methods To Measure the Thermal Diffusivity of anisotropic graphite heat spreaders," in ASME 2013 International Technical Conference and Exhibition on Packaging and Integration of Electronic and Photonic Microsystems, 2013, pp. V002T08A022-V002T08A022: American Society of Mechanical Engineers.
- [57] G. Korb, J. Korab, and G. Groboth, "Thermal expansion behaviour of unidirectional carbon-fibre-reinforced copper-matrix composites," Composites Part A: Applied Science and Manufacturing, vol. 29, no. 12, pp. 1563-1567, 1998.
- [58] Drevin-Bazin, A., F. Lacroix, and J.-F. Barbot, SiC die attach for high-temperature applications. Journal of electronic materials, 2014. 43(3): p. 695-701.
- [59] Rebbereh, C., H. Schierling, and M. Braun. First inverter using silicon carbide power switches only. in Proc. EPE. 2003

- [60] Su, M., C. Chen, and S. Rajan, Prospects for the application of GaN power devices in hybrid electric vehicle drive systems. Semiconductor Science and Technology, 2013. 28(7): p. 074012.
- [61] Funaki, T., et al., Power conversion with SiC devices at extremely high ambient temperatures. IEEE Transactions on Power electronics, 2007. 22(4): p. 1321-1329.
- [62] Buttay, C., et al., State of the art of high temperature power electronics. Materials Science and Engineering: B, 2011. 176(4): p. 283-288.
- [63] Fujihira, T., et al. The state-of-the-art and future trend of power semiconductor devices. in PCIM Europe 2015; International Exhibition and Conference for Power Electronics, Intelligent Motion, Renewable Energy and Energy Management; Proceedings of. 2015. VDE.
- [64] Khazaka, R., et al., Survey of high-temperature reliability of power electronics packaging components. IEEE Transactions on power Electronics, 2015. 30(5): p. 2456-2464.
- [65] Presentation Hitachi Metals, FCE 2016, Georgia Institute of Technology, Atlanta, USA.
- [66] Zhang, H., et al. A high temperature, double-sided cooling SiC power electronics module. in Energy Conversion Congress and Exposition (ECCE), 2013 IEEE. 2013. IEEE.
- [67] Yoon, S.W., et al., Reliable and repeatable bonding technology for high temperature automotive power modules for electrified vehicles. Journal of Micromechanics and Microengineering, 2012. 23(1): p. 015017.
- [68] Pei, L.S., et al. High-temperature Pb-free die attach material project phase 1: Survey result. in Electronics Packaging (ICEP), 2017 International Conference on. 2017. IEEE.
- [69] http://www.indium.com/technical-documents/whitepaper/alternative-pbfree-soldering-alloys-english#paper-request-form.
- [70] Guth, K., et al. New assembly and interconnects beyond sintering methods.
- [71] Noguchi, M., S.N. Joshi, and E.M. Dede. Design of Mechanical Properties of Transient Liquid Phase Bonds with Tertiary Metal Particles. in Electronic Components and Technology Conference (ECTC), 2016 IEEE 66th. 2016. IEEE.
- [72] Bultitude, J., J. McConnell, and C. Shearer, High temperature capacitors and transient liquid phase interconnects for Pb-solder replacement. Journal of Materials Science: Materials in Electronics, 2015. 26(12): p. 9236-9242.
- [73] Garnier, A., et al. Investigation of copper-tin transient liquid phase bonding reliability for 3D integration. in Electronic Components and Technology Conference (ECTC), 2013 IEEE 63rd. 2013. IEEE.

- [74] Manikam, V.R. and K.Y. Cheong, Die attach materials for high temperature applications: A review. IEEE Transactions on Components, Packaging and Manufacturing Technology, 2011. 1(4): p. 457-478.
- [75] Schmitt, W. and L.M. Chew. Silver Sinter Paste for SiC Bonding with Improved Mechanical Properties. in Electronic Components and Technology Conference (ECTC), 2017 IEEE 67th. 2017. IEEE.
- [76] Siow, K.S., Are sintered silver joints ready for use as interconnect material in microelectronic packaging? Journal of electronic materials, 2014. 43(4): p. 947-961.
- [77] Yoon, S.W., M.D. Glover, and K. Shiozaki, Nickel 2013; Tin Transient Liquid Phase Bonding Toward High-Temperature Operational Power Electronics in Electrified Vehicles. IEEE Transactions on Power Electronics, 2013. 28(5): p. 2448-2456.
- [78] PSMA 3D Power Packaging Phase II Report, March 2015.
- [79] Mustain, H.A., W.D. Brown, and S.S. Ang, Transient liquid phase die attach for high-temperature silicon carbide power devices. IEEE transactions on components and packaging technologies, 2010. 33(3): p. 563-570.
- [80] Liu, X., S. He, and H. Nishikawa, Thermally stable Cu3Sn/Cu composite joint for high-temperature power device. Scripta Materialia, 2016. 110: p. 101-104.
- [81] Ehrhardt, C., et al. A lead free joining technology for high temperature interconnects using Transient Liquid Phase Soldering (TLPS). in Electronic Components and Technology Conference (ECTC), 2014 IEEE 64th. 2014. IEEE.
- [82] Siow, K.S. and Y. Lin, Identifying the development state of sintered silver (Ag) as a bonding material in the microelectronic packaging via a patent landscape study. Journal of Electronic Packaging, 2016. 138(2): p. 020804.
- [83] Suganuma, K., et al., Low-temperature low-pressure die attach with hybrid silver particle paste. Microelectronics Reliability, 2012. 52(2): p. 375-380.
- [84] Paknejad, S.A. and S.H. Mannan, Review of silver nanoparticle based die attach materials for high power/temperature applications. Microelectronics Reliability, 2017. 70: p. 1-11.
- [85] Kim, M.-S. and H. Nishikawa, Effects of bonding temperature on microstructure, fracture behavior and joint strength of Ag nanoporous bonding for high temperature die attach. Materials Science and Engineering: A, 2015. 645: p. 264-272.
- [86] Kun, Q., C. Xu, and L. Guo-Quan, Effect of interconnection area on shear strength of sintered joint with nano-silver paste. Soldering & Surface Mount Technology, 2008. 20(1): p. 8-12.

- [87] Khazaka, R., L. Mendizabal, and D. Henry, Review on Joint Shear Strength of Nano-Silver Pasteand Its Long-Term High Temperature Reliability. Journal of Electronic Materials, 2014. 43(7): p. 2459-2466.
- [88] Zhang, H., S. Nagao, and K. Suganuma, Addition of SiC particles to Ag die-attach paste to improve high-temperature stability; grain growth kinetics of sintered porous Ag. Journal of Electronic Materials, 2015. 44(10): p. 3896-3903.
- [89] Le Henaff, F., et al. Reliability of Double Side Silver Sintered Devices with various Substrate Metallization. in PCIM Europe 2016; International Exhibition and Conference for Power Electronics, Intelligent Motion, Renewable Energy and Energy Management; Proceedings of. 2016. VDE.
- [90] Mizumura, Noritsuka, and Koji Sasaki. "Development of low-temperature sintered nano-silver pastes using MO technology and resin reinforcing technology." *Electronics Packaging (ICEP)*, 2014 International Conference on. IEEE, 2014.
- [91] A.A. Zinn et.al, "Nanocopper as a soldering alternative: Solder-free assembly", Nanotechnology (IEEE-NANO), 2016.
- [92] Kahler, Julian, et al. "Sintering of copper particles for die attach." IEEE Transactions on Components, Packaging and Manufacturing Technology 2.10 (2012): 1587-1591.
- [93] Sun, S., et al. (2018). "Solderless bonding with nanoporous copper as interlayer for high-temperature applications." Microelectronics Reliability 80: 198-204.
- [94] Mohan, Kashyap, et al. "Low-temperature, organics-free sintering of nanoporous copper for reliable, high-temperature and high-power die-attach interconnections." Applied Power Electronics Conference and Exposition (APEC), 2017 IEEE. IEEE, 2017.
- [95]https://www.infineon.com/dgdl/DA5_customer_presentation.pdf?fileId=db3a30433fa9412 f013fbd2aed4779a2.